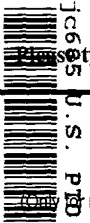


12-10-01

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11/08/01



10/01/0024



type a plus sign [+] inside this box

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

() new nonprovisional application under 37 C.F.R. § 1.53(b))

Attorney Docket No.	IN-5212Div.
First Named Inventor	Timothy S. DECEMBER
Filing Date	Thursday, November 08, 2001
Title	PIGMENT DISPERSANTS HAVING ANIONIC FUNCTIONALITY FOR USE IN ANODIC ELECTROCOAT COMPOSITIONS
Express Mail Certificate	ET332278690US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application, Washington, DC 20231
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <input type="text" value="29"/>] -(preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Application - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Background of the invention - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets <input type="text"/>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Sheets <input type="text" value="02"/>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR § 1.63(d)) (for continuation/divisional with Box 17 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identify of above copies</p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR§3.73(b) Statement <input type="checkbox"/> Power Of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/ PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> other:</p>
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17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☒ **Divisional** ☐ Continuation-in-part (CIP) of prior application No. 09/467,256 filed on December 20, 1999.

Prior application information: Examiner Michl, P. Group/Art Unit: 1714

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label  or ☐ Correspondence address below

26922
PATENT-TRADEMARK OFFICE

Country	USA	Telephone	(248) 948-2	Fax	(248) 948-2093
Name (print Type)	Mary E. Golota	Registration No. (Attorney Agent)	36,814		
Signature	<i>Mary E. Golota</i>	Date	11/8/01 November 8, 2001		

FEE TRANSMITTAL Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 2001	Attorney Docket No.	IN-5212Div.
	First Named Inventor	Timothy S. DECEMBER
	Filing Date	Thursday, November 08, 2001
	Title	PIGMENT DISPERSANTS HAVING ANIONIC FUNCTIONALITY FOR USE IN ANODIC ELECTROCOAT COMPOSITIONS
	Express Mail Certificate	ET332278690US

TOTAL AMOUNT OF PAYMENT	\$740.00
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METHOD OF PAYMENT	FEE CALCULATION
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to change indicated fees and credit any over payments to:	3. ADDITION FEES		
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Deposit Account Number	23-3425	Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account Name	BASF Corporation	105	130	Surcharge -late filing fee or oath	
<input checked="" type="checkbox"/> Change Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	<input checked="" type="checkbox"/> Charge the Issue Fee Set in 37 CFR § 1.18 at the Mailing of the Notice of Allowance	127	50	provisional ailing or cover sheet	
		139	130	Non-English specification	
		147	2,520	For filing request for examination	
		112	920*	Requesting publication of SIR prior to Examiner action	
2. <input type="checkbox"/> Payment Enclosed:		113	1,840	Requesting publication of SIR after Examiner action	
<input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		115	110	Extension for reply within first month	
		116	400	Extension for reply within second month	
		117	890.	Extension for reply within third month	
		118	1,510	Extension for reply within fourth month	
		128	2,060	Extension for reply within fifth month	
		119	310	Notice of Appeal	
		120	310	Filing a brief in support of an appeal	
		121	270	Request for oral hearing	
		138	1,510	Petition to institute a public use proceeding	
		140	110	Petition to revive-unavoidable	
		141	1,320	Petition to revive-unintentional	
		142	1,320	Utility issue fee (or reissue)	
		143	450	Design issue fee	
		144	670	Plant issue fee	
		122	130	Petition to the Commissioner	
		123	50	Petition related to provisional application	
		126	240	Submission of information Disclosure Statement	
		581	40	Recording each patent Assignment per property (time number of properties)	
		146	790	Filing a submission after final rejection	
		149	790	For each additional invention to be examined (37 CFR 1.129(b)) (specify)	
		Other	fee	Reduced By Basic Fee Paid Filing	

FEE CALCULATION

1. BASIC FILING FEE			
Large Fee Code	Entity Fee (\$)		
101	740	Utility filing fee	\$740.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	150	Provisional filing fee	
SUBTOTAL (2)			\$740.00

2. EXTRA CLAIM FEES

Total Claims	14	-20** =	0	x	18	=	-0-
Independent Claims	02	-3** =	0	x	84	=	-0-
Multiple Dependent			290	=			
*Or number previously paid, if greater; For Reissues, see below							
Large Fee Code	Entity Fee (\$)	Claims in excess of 20					
103	18	Independent claims in excess of 3					
102	80	Multiple dependent claims, if not paid					
104	270	**Reissue independent claims over original patent					
109	82	**Reissue claims in excess of 20 and over original patent					
110	22						
SUBTOTAL (2)			(\$)-0-				

18. CORRESPONDENCE ADDRESS	
<input checked="" type="checkbox"/> Customer Number or Bar Code Label	or <input type="checkbox"/> Correspondence address below
 26922 PATENT-TRADEMARK OFFICE	

Country	USA	Telephone	(248) 948-2020	Fax	(248) 948-2093
Name (print Type)	Mary E. Golota	Registration No. (Attorney Agent)	36,814		
Signature	<i>Mary E. Golota</i>	Date	11/8/01	November 8, 2001	

PATENT

(Practitioner's Docket No. IN-5212Div.)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Timothy S. December

Serial No.: This application is a Divisional
of 09/467,256 filed December 20, 1999.

Group Art Unit: Not Assigned

Filed: November 8, 2001

Examiner: Not Assigned

For: METHOD OF REMOVING ACID FORMED DURING CATHODIC ELECTRODIP
COATINGS

Assistant Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number ET332278690US Date of Deposit November 8, 2001

I hereby certify that the following attached paper or fee

☒ **Application**
Specification on 24 pages; claims on 04 pages;
the abstract on 01 page(s); drawings on page(s).

☒ **Combined Declaration and Power of Attorney on 02 pages**

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)



Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) **be signed** and (2) **fully identify and be securely attached to the paper or fee it accompanies**. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail ". Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

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